This course is shaped around five major goals: (1) crafting a strong research design, (2) collecting qualitative data, (3) analyzing qualitative data, (4) assessing qualitative scholarship, and (5) ultimately understanding when and for what purposes qualitative methods are appropriate. No single qualitative methodology is preeminent (indeed, multi-methodological work is encouraged), but we will focus primarily on interview and ethnographic, historical/archival, and comparative methods as applied to case studies, typologies, and other designs. We will examine these methods with respect to both theory generation and theory testing, including causal inference. As an interdisciplinary course, it draws from anthropology, criminology, history, political science, and sociology. Topically, the course will often draw on examples from the study of social control (broadly defined), specifically the experience and construction of social control, though the lessons are generalizable to other topics of interest. It is expected that students will complete the class with a firm understanding of what we mean by qualitative methods and what qualitative methods can accomplish and a basic understanding of how to design a study and collect and analyze qualitative data. There will be no lab component or particular software required.

1 Assignments

- **Critical Book Review (15%).** Choose one book from the list below (sign-up sheet provided in provided in Week 2) and write a 1,000 to 1,500–word critical review. Like a regular (publishable) book review, this review should summarize the book’s thesis and supporting arguments (this may include chapter summaries) and a critique (usually focusing on one to three problems with the book). Unlike a regular (publishable) book review, the review will include a careful discussion of the data and method(s) used by the book and assess their applicability to the research question as well as the author’s analysis. The due date will depend upon the book selected. However, they must be emailed to Prof. Rubin AND TA Guzman by 11:59 PM the Sunday evening prior to class for the relevant week so she can post them to blackboard and everyone has time to review these prior to class. Note: Before writing your review or even reading your selected book, read through a few book reviews from your favorite journal (my favorites are *Theoretical Criminology, Punishment & Society, Law & Society*, or *Law & Social Inquiry*) to get a sense of their structure.
• **Precís (15%).** In the course of the semester, students should prepare a précis, or short summary, for each of three readings of their choice (three précis total) from a selection of the required and recommended readings. (Note that given the ratio of students to readings some readings may be reviewed by more than one student. With prior permission, a student may select one of the books from the list provided to take the place of two précis and provide a double-length précis.) By “short,” I mean 1-1.5 single-spaced pages in 12pt Times New Roman with 1” (2.54cm) margins. A sign-up sheet will be circulated in Week 2. They must be emailed to Prof. Rubin AND TA Guzman by 11:59 PM the Sunday evening prior to class so she can post them to blackboard and everyone has time to review these prior to class. Each précis should include (a) the citation, (b) a summary of the author’s argument and the author’s data and methods, and (c) a critique (both positive and negative assessments) and/or a discussion of how the work exists in conversation with other key readings. If there is something distinctive about their approach or methodological weaknesses or innovations that they do discuss, this is worth including.) These are useful devices for preparing for one’s exams or when writing articles.

• **Midterm Exam (35%).** The midterm will test students’ knowledge of material from Units I and II. In addition to questions about why and when we should use qualitative methods, it will include questions about research design and data collection. Students will be given a one-week window to take the test; once they begin the test, however, they must not spend more than eight hours (documented accommodations excepted). The material will cover weeks 1 through 6.

• **Final Paper (35%).** 25-30 (double-spaced, 12pt, Times New Roman) pages. Students will be provided with the prompt after the midterm. The prompt will require students to rely on material discussed both before and after the midterm. While students are invited to bring in outside readings, the final paper will not require independent research but should engage with the material discussed in class. A list of relevant sources for the final paper prompt will be provided to supplement the required and recommended course readings.

**PENALTIES FOR LATENESS**

I will not accept late work without appropriate medical documentation (documentation should be provided according to university policy). I will only grant extensions for medical emergencies, provided the student requesting an extension provides medical documentation at least 24 hours before any deadline/due date.

2  **Required Texts**

The required reading will come from the following four books and from articles posted to the Blackboard course website.


3 Recommended Texts: Additional Guides to Qualitative Methods


4 Books Analyzing Social Control and Other Social Phenomena Using Qualitative Methods

Note: Some of these books include mixed or multi-methods approaches (e.g., in addition to interviewing live subjects, relying on oral histories or textual documents, etc., they also quantitatively analyze surveys or other quantitative datasets).

Classics, Mostly in Urban Sociology


**On Policing, Processing, and Getting By**


**On Prison**


On Penal Policy/Trends


5 Class Topics, Dates, and Reading Assignments

Reading assignments should be completed by the class for which they are assigned. Readings will consist of methodological literature (including articles and book chapters), empirical literature that uses qualitative or mixed/multi-method approaches, and readings evaluating the state of qualitative methods (generally or a particular example) in the field. Different reading techniques will be required for each type of reading. The texts will vary from textbook-like writing to methodological arguments to reflections on the experience of doing qualitative methods (esp. fieldwork). How quickly or thoroughly you read these pieces depends on your own approach to graduate seminars. Throughout the semester, we will read several empirical pieces as examples of qualitative research (various approaches to social control). Pay attention to the methods and how they are used, but know enough about the theory and research question to consider the evaluate the methodology.

UNIT I. MOTIVATION

1. Introduction: What are Qualitative Methods? (1/10) What are some examples of qualitative data and qualitative methods? What do qualitative methods help us to understand that quantitative methods cannot?

• Salsa Dancing into the Social Sciences (Luker), Ch. 1 (Salsa Dancing? In the Social Sciences?) and 2 (What?s It All About?)

* (In this and future weeks, do the exercises at the end of each chapter; but do not turn them in. Keep them for your own records.)


2. **The Other Two Solitudes: Quantitative v. Qualitative Methods (1/17)** Why use qualitative methods? What sorts of research questions lend themselves best to qualitative methods? When are qualitative (and mixed methods) appropriate? What are some metrics of quantitative research that are inappropriate (misguided) for evaluating qualitative methods? Which metrics are appropriate? What are the major features of the quant-qual divide? What is a good division of labor between the two approaches? What are some standard (accurate or misguided) critiques of qualitative methods by quantitative scholars? How do you defend against them?

Sign-up sheet for critical book review distributed at the beginning of class
- *Salsa Dancing into the Social Sciences* (Luker), Ch. 3 (An Ode to Canonical Social Science)
- *Rethinking Social Inquiry* (Brady and Collier), Intro, Ch. 1, Ch. 2 (pp. 1-64)
- *Contemporary Field Research* (Emerson), Ch. 13

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**UNIT II. GETTING STARTED AND DOING THE WORK**

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3. **Research Design: What is the Research Question? (1/24)** How do you get started? In some sense, this week we will continue themes from earlier about what sorts of questions are appropriately answered using qualitative methods. But we will also talk about how to figure out what a good question is and how to make sure other people will care about it. Finally, we will begin our discussion of how to you design a study that allows you to answer that question.

- *Salsa Dancing into the Social Sciences* (Luker), Ch. 4 (What Is This a Case of, Anyway?), 5 (Reviewing the Literature), & Appendix 1 (What to Do If You Don’t Have a Case)

**Recommended Reading:**
- *Analyzing Social Settings* (Loftland, Snow, Anderson, and Loftland), Ch. 8

4. **Research Design: Sample/Site Selection (1/31).** When is random selection a good idea and when is it a terrible idea? What are the different types of sample selection techniques? What factors should motivate this decision? How do you reach hard-to-find populations? How do you get access to your site?

- *Salsa Dancing into the Social Sciences* (Luker), Ch. 6 (On Sampling, Operationalization, and Generalization) and 7 (Getting Down to the Nitty-Gritty)
- *Case Studies and Theory Development in the Social Sciences* (George and Bennett), Ch. 3-4 (pp. 67-88) (Available on Blackboard)

**Recommended Reading:**
- *Analyzing Social Settings* (Loftland, Snow, Anderson, and Loftland), Ch. 1-3

5. **Data Collection: Ethnography and Interviewing Techniques I (2/7).** Drawing primarily on insights from anthropology and sociology, we explore the techniques for the most well-known of qualitative methods: interviewing and ethnographies. We will cover many issues: interview questions, interviewing dynamics, writing field notes, memoing, triangulation, saturation, thick description, and reflexivity.
6. **Data Collection: Ethnography and Interviewing Techniques II (2/14).** This class continues our discussion from the previous week, but focuses more on issues of positionality, the interplay between interviewer and subject identity.

**Take-home MIDTERM EXAM distributed in PM after class**

- *Contemporary Field Research* (Emerson), Ch. 5, 6, 7, 9, 11

**Recommended Reading:**


**– Reading Week! [Feb. 19-23]**

7. **Historical and Archival Methods, Historiography, and Oral Histories—Data Collection and Analysis (2/28).** Historians are not known for discussing research methods; indeed, historiography, the method of history, means something different that what we expect as social scientists. Fortunately, political scientists are much more explicit about their methodological approach and toolkit; for this we read Thelen, which is also used by Schoenfeld and Rubin. In addition to reviewing how “real” (i.e., disciplinary) historians do research and how political scientists and political sociologists have developed historical methods, we examine how political scientists, sociologists, and criminologists use history for a variety of purposes. These studies (Schoenfeld, Rubin, Koehler, and Gartner et al.) each explicitly discuss their data collection and/or methodology.

**Take-home MIDTERM EXAM due at the beginning of class**

**Take-home FINAL PAPER distributed at the end of class (due in Week 12)**

Begin reading Goffman, *On the Run*

- *Salsa Dancing into the Social Sciences* (Luker), Ch. 9 (Historical-Comparative Methods)
- Short *Chronicle* archives workflow article [Link]
8. **Data Analysis: Grounded Theory and Content Analysis (3/7).** What do you do with the data once you collect it? In this class, we discuss a common approach to qualitative analysis (grounded theory) as well as the nitty gritty of what the most common qualitative analytical technique (content analysis). In particular, we will discuss the mechanics of (open and focused) coding, which forms the building blocks of much sociological qualitative research.

- *Salsa Dancing into the Social Sciences* (Luker), Ch. 10 (Data Reduction and Analysis)
- *Writing Ethnographic Fieldnotes* (Emerson, Fretz, and Shaw), Ch. 6
- *Contemporary Field Research* (Emerson), Ch. 14-15 (skim Ch. 13 that we read previously)

**Recommended Reading:**

- *Analyzing Social Settings* (Loftland, Snow, Anderson, and Loftland), Ch. 9

9. **Data Analysis: Techniques for Causal Inference from Political Science (3/14).** We will discuss Process Tracing, Case Study Analysis, Counterfactuals, Necessary v. Sufficiency (and 2x2 tables), Causal Process Observations, and (briefly) Qualitative Comparative Analysis (QCA). We will continue these topics into the following week. (For more like this, attend the two-week summer program at Syracuse U., IQMR.) *Rethinking Social Inquiry* (Brady and Collier) establishes the outlines of these methods; the excerpt from my dissertation illustrates some of these techniques, especially counterfactuals and something resembling process tracing, with respect to analyzing prison history.

- *Rethinking Social Inquiry* (Brady and Collier), Ch. 9-12 (incl. Intro to Part II), (pp. 161-244)

10. Data Analysis: Case Studies and Typologies (3/21). Continuing the insights from the prior two weeks, we examine two analytical techniques used by both political scientists and sociologists. Again, we will review a few illustrations of these methods to see their practical application in studying social control.


Recommended Reading:

- Analyzing Social Settings (Loftland, Snow, Anderson, and Loftland), Ch. 6-7

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UNIT IV. OTHER CONSIDERATIONS

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11. Ethics (3/28). Although an undercurrent in some previous weeks, we now turn explicitly to the role of ethics in qualitative and multi-method research. We focus in particular on the cautionary tale of Richard Leo. We also discuss issues arising regarding the REB (or the American version, the Institutional Review Board) and broader concerns regarding in-prison research in particular to extrapolate to other areas of social inquiry.

- Contemporary Field Research (Emerson), Ch. 12

Recommended Reading:

12. **Effective Writing and Representation of Data (4/4).** Unlike quantitative studies, qualitative research does not entail a specific recipe for writing an article or book chapter. Instead, there are a great variety of ways to present one’s data. These disparate approaches reflect disciplinary differences and journal preferences, but they also reflect the uniqueness of the data. In addition to reading about the mechanics, we will review several examples of great writing.

**FINAL PAPER due by Friday 5 PM**

- *Salsa Dancing into the Social Sciences* (Luker), Ch. 11 (Living Your Life as a Salsa-Dancing Social Scientist)
- *Writing Ethnographic Fieldnotes* (Emerson, Fretz, and Shaw), Ch. 7-8
- *Contemporary Field Research* (Emerson), Ch. 1-2

**Recommended Reading:**

- *Analyzing Social Settings* (Loftland, Snow, Anderson, and Loftland), Ch. 10